

2631

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Dated: October 27, 2004

Signature: Robert B. Cohen

(Robert B. Cohen)

Docket No.: SONYTA 3.3-150
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Inose et al.

Application No.: 09/980,517

Group Art Unit: 2631

Filed: February 28, 2002

Examiner: Not Yet
Assigned

For RECEIVING APPARATUS AND RECEIVING
METHOD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)

Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: October 27, 2004

Respectfully submitted,

By Robert B. Cohen

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

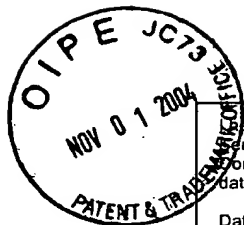
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 27, 2004

Respectfully submitted,

By Robert B. Cohen

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

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600 South Avenue West

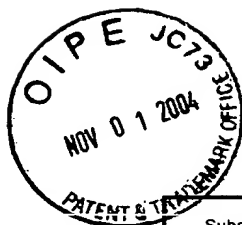
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PTO/SB/08a/b (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/980,517
Filing Date	February 28, 2002
First Named Inventor	Kenji Inose
Art Unit	2631
Examiner Name	Not Yet Assigned
Attorney Docket Number	SONYTA 3.3-150

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	EP-0 762 769-A1	03-12-1997	Philips Electronique Grand Public; Philips Electronics NV		**
	BB	EP-0 835 029-A2	04-08-1998	Sony Corporation		✓
	BC	EP-0 966 157-A1	12-22-1999	Sony Corporation		✓

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	PHILIPS, "OM5730 - STB5860 (Set-Top Box) STB Concept", August 25, 1999, pp. 1-20, Philips Semiconductors.	✓
	CB	HURLEY, T.R., "Evolution of the Digital Set Top Box", International Broadcasting Convention, Conference Publication No. 428, September 12, 1996, pp. 277-282.	✓

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

** with English abstract only

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Examiner Signature		Date Considered	
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